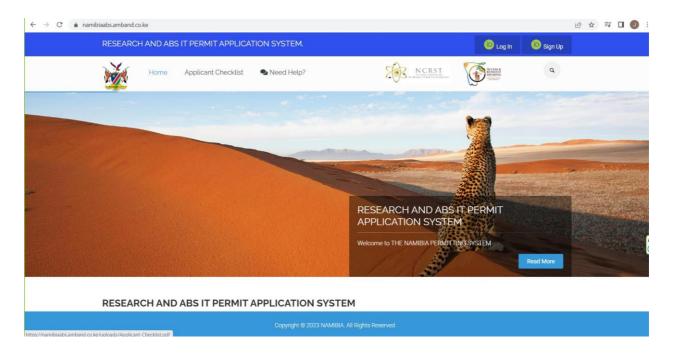
NAMIBIA RESEARCH AND ABS IT PERMIT APPLICATION SYSTEM

USER MANUAL

The Home Page



The Home Page provides a summary of research and ABS permit application process in Namibia. It advises the applicant on the whole application process for both National Commission on Research. Science and Technology (NCRST) and Ministry of Environment and Forestry (MEFT).



NATIONAL COMMISSION ON RESEARCH, SCIENCE AND TECHNOLOGY (NCRST)

The Research and ABS IT permit application system was developed by the Namibian government to expedite research authorization, certificate and permit application process for researchers at the same time improving the efficiency and transparency of the entire research permit application process.

The Research and ABS IT permit application system has three types of application

1. Certificate

A research certificate will be issued to all Namibian Based Research Institute (public or private) whose mandate is to do research or as part of their daily duties.

A research institute based in Namibia which has been issued with a research certificate MUST apply for a written authorization for each specific research projects to be undertake and for collection of research samples.

2. Permit/ Authorization

A research permit is issued to the following;

- i. Non- Namibian based research institute/researcher intending to do research in Namibia;
- ii. All Namibian based researcher studying abroad and intend to do research in the country;
- iii. Non- Namibian based researcher collaborating with Namibian based research institute/researcher, where the non-Namibia based researcher owns at least 51% of the research.

A research authorization is issued to;

- i. All Namibian based research person not affiliated to any research institute intending to do research in the country.
- ii. A research institute based in Namibia which has been issued with a research certificate MUST apply for a written authorization for each specific research projects to be undertake and for collection of research samples.

Under NCRST the homepage states the types of application i.e. certificate, authorization and permits issued, target group, documents needed and fees payable.

Target Group

Below is the list of people and entities who should register with the system;

- 1. All Namibian Based Research Institutes (public or private) who carry out research or as part of their duties:
- 2. All Namibian Based Research Person not affiliated to any research institute intending to do research in Namibia;
- 3. Non-Namibian Based Research Institute/Person intending to do research in Namibia;
- 4. All Namibian based person studying abroad and intend to do research in Namibia;
- 5. Non- Namibian based person collaborating with Namibian based research institute/researcher;
- 6. In all cases where the research involves acquiring information/data from the Country or Public and Government organizations.
- 7. All researchers, persons and institutions who wish to do bioprospecting in Namibia
- 8. All researchers, persons and institutions who wish to change the intent of their research from Non-commercial to Commercial.

List of documents to be uploaded for research certificate application

According to the Research, Science and Technology regulation of 2011, all namibian-based research institution/researcher MUST attach the following documents to support their application.

- 1. If the applicant is a natural person, a certified copy of the applicant's identity document or passport and, in the case of a non-Namibian citizen, a certified copy of the applicant's permanent residence permit, employment permit, student's permit or other authorisation and proof of residence in Namibia.
- 2. If the applicant is a body corporate, a certified copy of its registration documents/constitutive documents. In the event of an unincorporated body, proof of existence of such body.
- 3. If the applicant is a body corporate or an unincorporated body, a certified copy of manager's/ managing director's/CEO's/principal's, as the case may be, identity document or passport and, in the case of a non-Namibian citizen, a certified copy of such person's permanent residence permit, employment permit or other authorisation and proof of residence in Namibia.
- 4. If the applicant is an unincorporated body, a certified copy of the identity document or passport of the person contemplated in who will sign the application on behalf of the applicant and, in the case of such person being a non-Namibian citizen, a certified copy of the person's permanent residence permit, employment permit, student's permit or other authorisation and proof of residence in Namibia.

Under MEFT the homepage discusses the Prior Informed Consent (PIC), Access and Benefit Sharing Agreement, Access permit and Materials Transfer Agreement (MTA) and fees payable.



MINISTRY OF ENVIRONMENT FORESTRY AND TOURISM (MEFT)

The Access to Biological and Genetic Resources and Associated Traditional Knowledge Act No. 2 of 2017 and Subsequent Regulations, was developed to regulate access to biological or genetic resources and associated traditional knowledge, and innovation, practices and technologies associated with biological and genetic resources and traditional knowledge; to protect the rights of the local communities over biological and genetic resources and associated traditional knowledge; to provide for a fair and equitable mechanism for benefit sharing; to establish the necessary administrative structures and processes for the implementation and enforcement of such principles; and to provide for incidental matters.

Documents needed for an ABS permit application

Notification of Prior Informed Consent

Any person or institution intending to approach right holders for obtaining prior informed consent must first notify the Office and take the necessary guidance from the Office

Click here, to access, fill and submit the Notification of Prior Informed Consent.

Prior Informed Consent

Access to biological or genetic resources and associated traditional knowledge is subject to written prior informed consent of the concerned right holders of such biological or genetic resources and associated traditional knowledge.

In order to obtain prior informed consent, the user is required to provide a full explanation as prescribed of how the biological and genetic resources and associated traditional knowledge is to be acquired and used

To obtain prior informed consent from right holders, a person or institution must;

Prior Informed Consent

Access to biological or genetic resources and associated traditional knowledge is subject to written prior informed consent of the concerned right holders of such biological or genetic resources and associated traditional knowledge.

In order to obtain prior informed consent, the user is required to provide a full explanation as prescribed of how the biological and genetic resources and associated traditional knowledge is to be acquired and used.

To obtain prior informed consent from right holders, a person or institution must;

- a. Comply with community protocols or customary practices, where such protocols or practices exist; and
- b. Comply with the advice given by ABS Office

A prior informed consent granted under these regulations does not entitle the applicant to access biological or genetic resources and associated traditional knowledge but only enables the applicant to proceed with the application for an access permit.

To access and fill in the Prior Informed Consent click here.

The Prior Informed Consent signed by both parties MUST be uploaded with other supporting documents in your application as advised by the ABS Office.

Access and Benefit Sharing agreements

If prior informed consent is granted in accordance, the right holders and the person intending to access biological or genetic resources and associated traditional knowledge must enter into an access and benefit sharing agreement.

Must be negotiated and entered into between the applicant and the right holders or their duly authorised representatives, and may be negotiated under the guidance of the Office and recorded in writing on the Access and Benefit Sharing forms;

In addition to the type of benefits, an access and benefit sharing agreement may vary on a case by case basis, and may include -

The Prior Informed Consent signed by both parties MUST be uploaded with other supporting documents in your application as advised by the ABS Office.

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In addition to the type of benefits, an access and benefit sharing agreement may vary on a case by case basis, and may include -

- a. Socio-economic development of the local community or right holders;
- b. Collaboration and sharing of information with academia and research institutions;
- c. Participation of right holders, academia and research in the project of access to biological or genetic resources and associated traditional knowledge; or and
- d. Joint ownership of relevant forms of intellectual property rights.

During compliance monitoring the Office will ascertain if all benefits contained in an access and benefit sharing agreement have accrued or been paid to the right holders.

To access and fill in the Access and Benefit Sharing agreement click here...

The Access and Benefit Sharing Agreement signed by both parties MUST be uploaded with other supporting documents in your application.

Access Permit

A person who intends to apply for an access permit must;

The front end shows applicants which documents they will need to supply during the application process on the page

Applicants will also sign up and sign in to the system through this page at the top right hand-side corner. using ORCID.

1. SIGN UP with ORCID

ORCID is short for **Open Researcher and Contributor ID.**

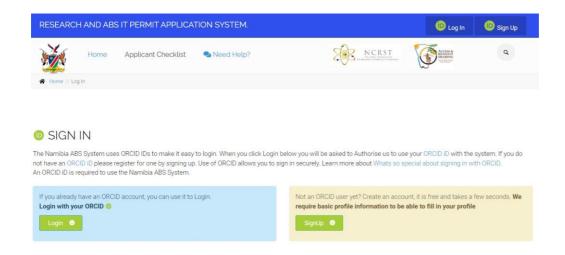
ORCID's mission is to enable transparent and trustworthy connections between researchers, their contributions, and their affiliations by providing a unique, persistent identifier for individuals to use as they engage in research, scholarship, and innovation.

ORCID does the above by providing three interrelated services:

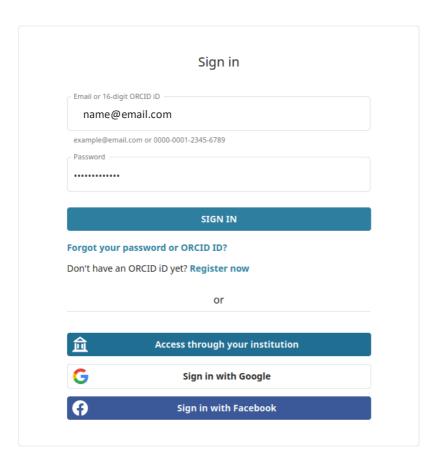
- The ORCID iD: a unique, persistent identifier free of charge to researchers
- An ORCID record connected to the ORCID iD
- A set of Application Programming Interfaces (APIs), as well as the services and support of communities of practice that enable interoperability between an ORCID record and member organizations so researchers can choose to allow connection of their iD with their affiliations and contributions

Why ORCID?

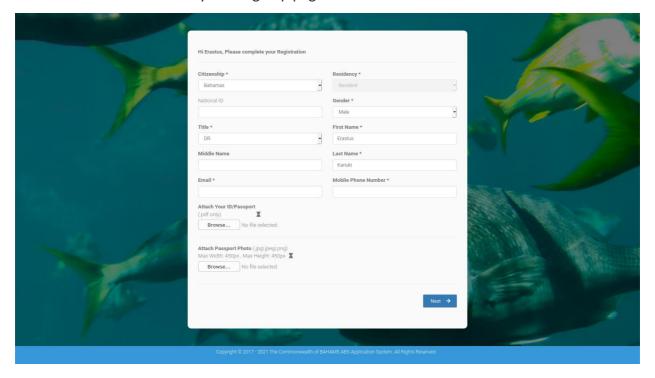
ORCID is a unique, persistent digital identifier that distinguishes researchers from those who might have the same (or similar) names. These also keep researchers connected to their work, regardless of name changes or changes to organizational affiliation. These iDs link researchers and their scholarly activities - like published articles or dissertations, patents, artistic performances and even datasets.



ORCID login page

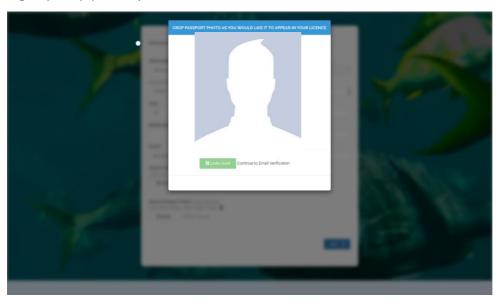


Namibia Research and ABS system sign up page



The signup page displays data fields to be filled by the applicant in-order for their profile to be registered by the system. The applicant will need to fill all fields and attach a **PDF** photocopy of their passport and a passport photo in **jpeg/jpg and png formats ONLY**.

Sign up crop profile photo



The photo crop functionality is used to remove unwanted outer areas from the image attached by the applicant. The image can be centered to capture the applicant's full face and remove of peripheral areas of an image to improve its framing. The aspect ratio can also be changed and the applicant can be accentuated or isolated from the background.

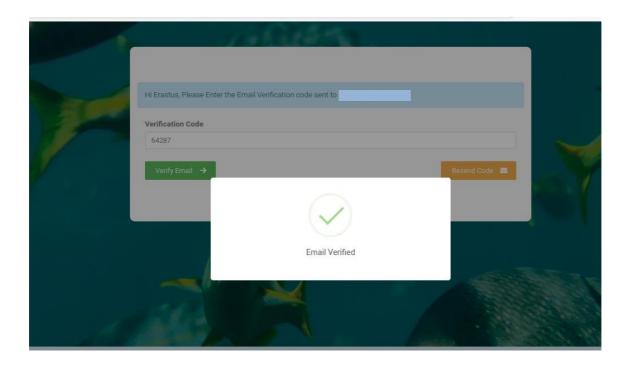
Sign up verify email address

Dear Achim

Thank you for registering for The NAMIBIA ABS SYSTEM THE NAMIBIA RESEARCH AND PERMITTING SYSTEM with the Government of the Namibia Research and ABS Permit Portal. Your email verification code is shown below

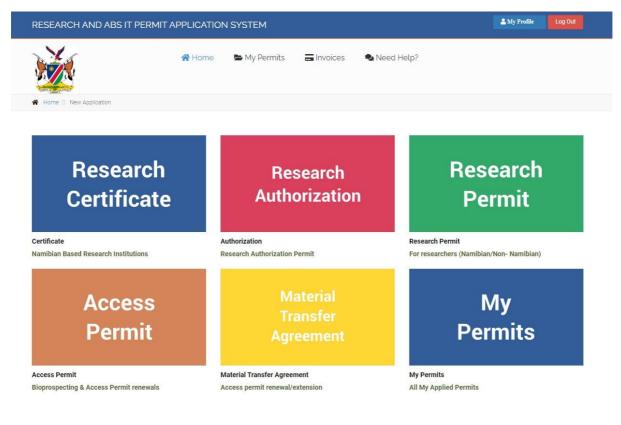
Verification Code is: 10952

Upon successful registration with the Research and ABS system, the system opens a verification code window which instructs the applicant to key in a code which has been sent to the email provided by the applicant. Upon successful verification, the system opens the Research and ABS application form.



2. Home

Once an applicant logs in he/she will be redirected to the page below.



The page displays four menus at the top;

- 1. Home
- 2. My permits
- 3. Invoices
- 4. Need help

Home

The home page has six modules;

- 1. Research certificate
- 2. Research Authorization
- 3. Research permit
- 4. Access permit
- 5. Material Transfer Agreement
- 6. My permits

1. Research certificate

A research certificate will be issued to a Namibian based research institute (private or public) whose mandate is to do research or as part of their daily duties.

A research institute based in Namibia which has been issued with a research certificate MUST apply for a written authorization for specific research projects to be undertake and for collection of research samples.

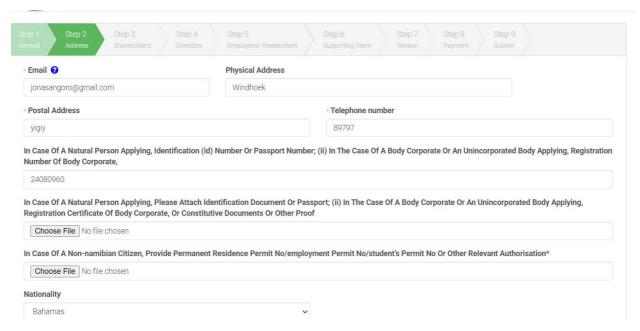
The research certificate has nine application steps.

Step 1: General



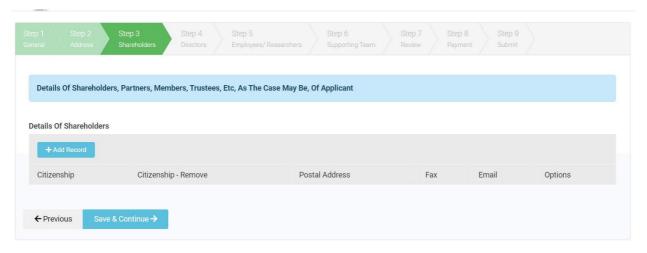
The applicants fills in all the fields displayed and clicks on "save and continue" to move to the next step.

Step 2: Address



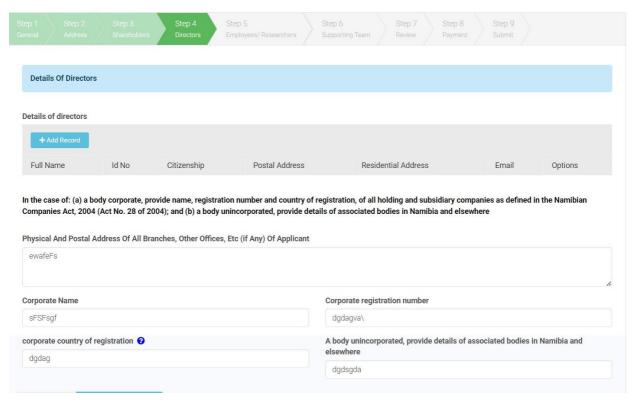
The applicant fills all the displayed fields and uploads documents where requested and the clicks on save and continue at the bottom to proceed to the next step.

Step 3: Shareholders



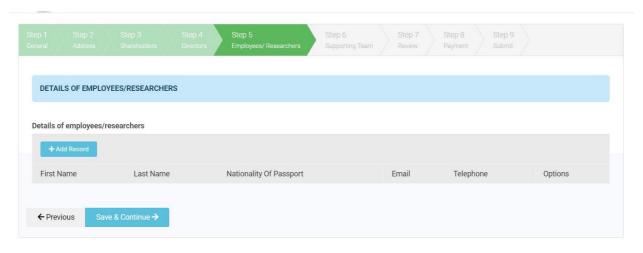
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 4: Directors



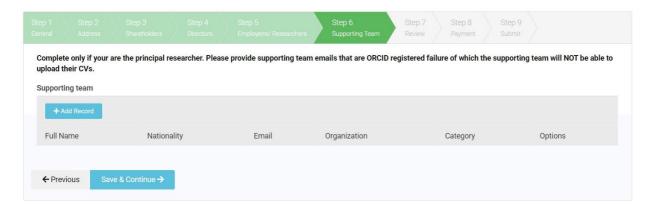
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 5: Employees/ Researchers



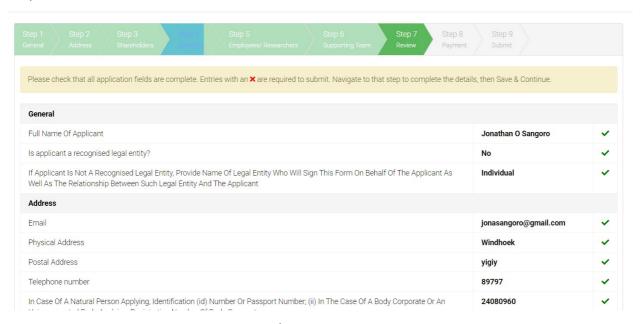
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 6: Supporting team



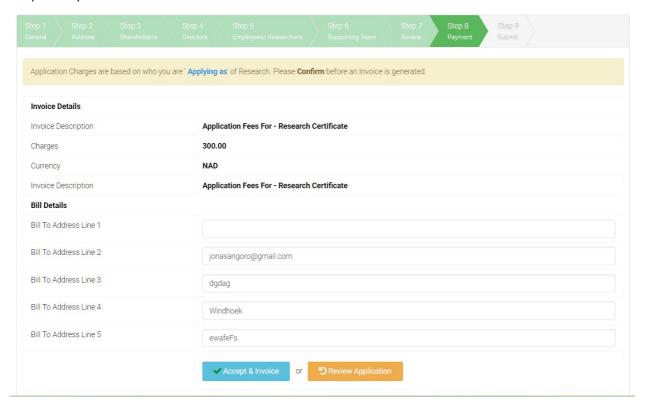
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 7: Review



The applicant reviews all the answers he/ she has completed in the application. Those answers that have been satisfactorily given are represented by a green tick while blank questions are shown by a red X. The applicant then clicks on "save and continue" to proceed to the next step.

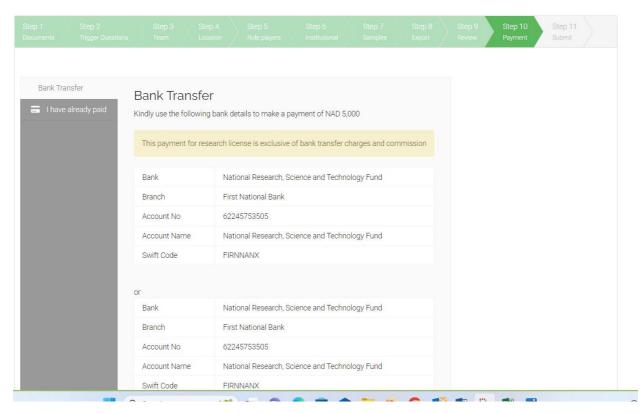
Step 8: Payment



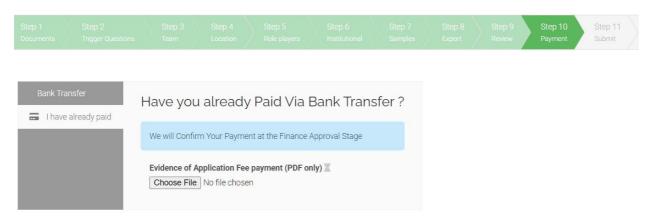
In this step the applicant clicks on accept and invoice and the system will automatically send the invoice amount to the registration email and open the step below;



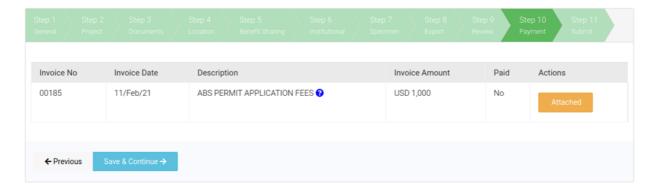
The applicant then clicks on pay now which then opens the step below;



The applicant then clicks on "I have already paid" which opens the page below;



The applicant then clicks on choose file and uploads the receipt of payment and the system displays the page below.

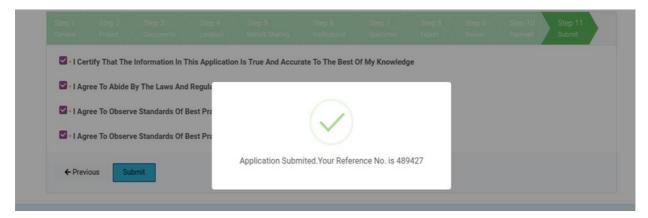


The applicant then clicks on "save and continue" at the bottom of the page.

Step 9: Submit



The applicant checks all the dialogue boxes and clicks on "submit".



The system will then display to the applicant his/her application has been successfully submitted and an application issued.

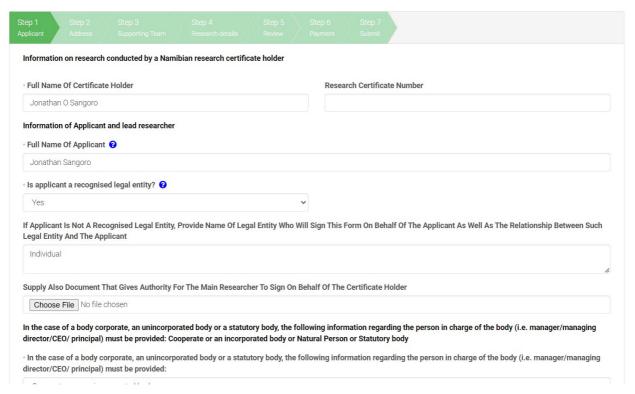
2. Research authorization

A research authorization is issued to all Namibian based research persons not affiliated to any research institute intending to do research in the country.

A research institute based in Namibia which has been issued with a research certificate MUST apply for a written authorization for specific research projects to be undertake and for collection of research samples.

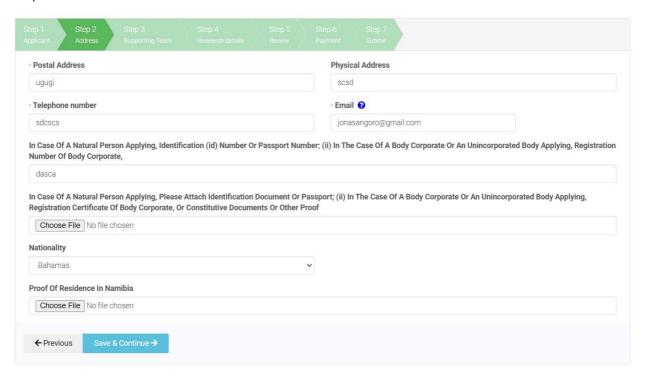
The research authorization application has seven steps.

Step 1: Applicant



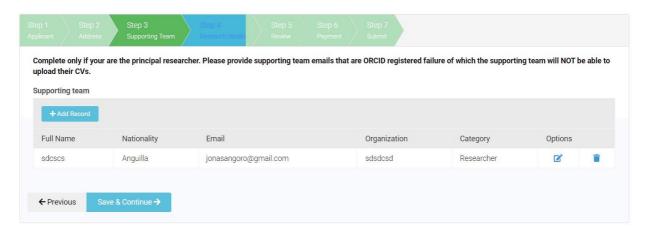
The applicant fills all the displayed fields and uploads documents where requested and the clicks on "save and continue" at the bottom to proceed to the next step.

Step 2: Address



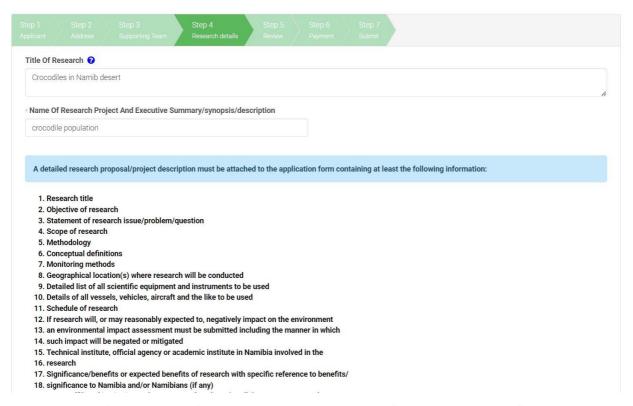
The applicant fills all the displayed fields and uploads documents where requested and the clicks on "save and continue" at the bottom to proceed to the next step.

Step 3: Supporting team



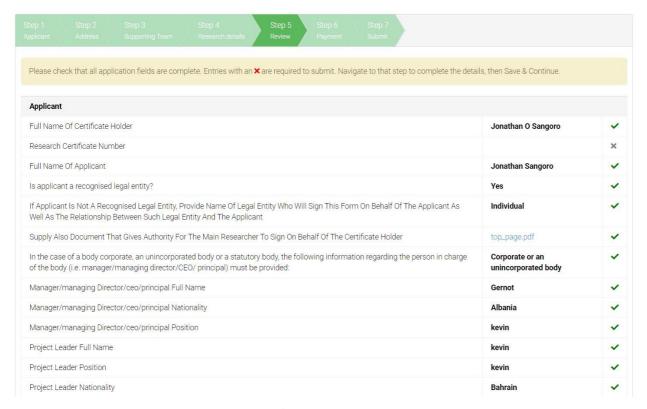
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 4: Research details



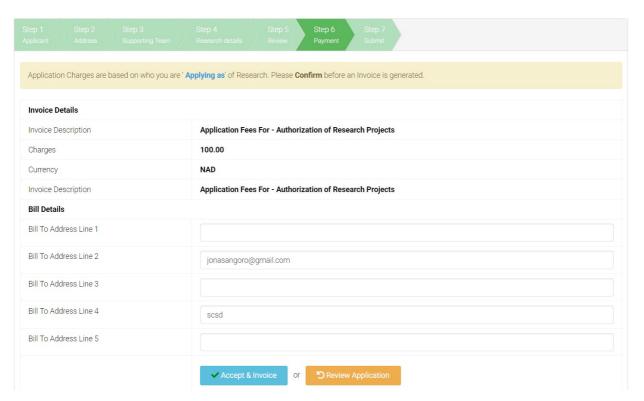
The applicants fills in all the fields displayed and clicks on "save and continue" to move to the next step.

Step 5: Review

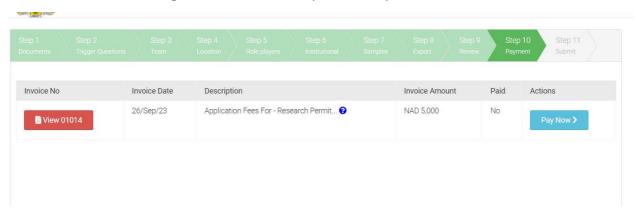


The applicant reviews all the answers he/ she has completed in the application. Those answers that have been satisfactorily given are represented by a green tick while blank questions are shown by a red X. The applicant then clicks on "save and continue" to proceed to the next step.

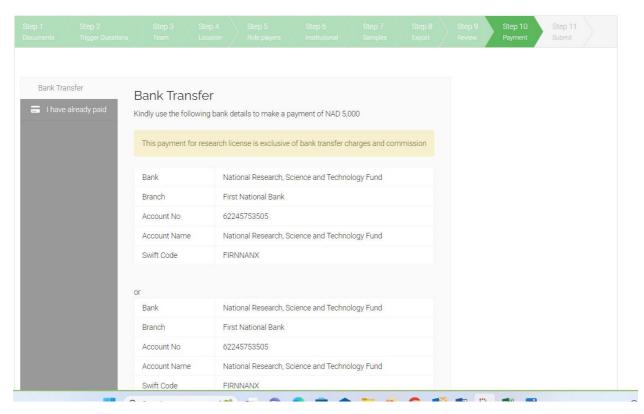
Step 6: Payment



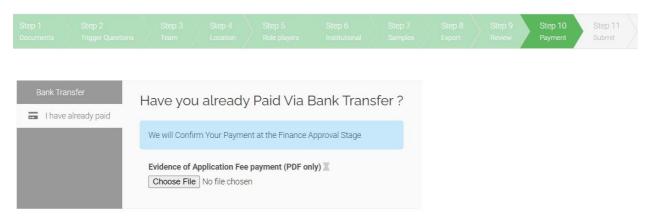
In this step the applicant clicks on accept and invoice and the system will automatically send the invoice amount to the registration email and open the step below;



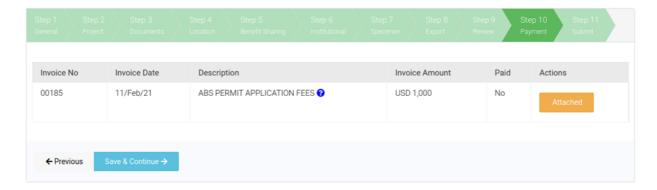
The applicant then clicks on pay now which then opens the step below;



The applicant then clicks on "I have already paid" which opens the page below;

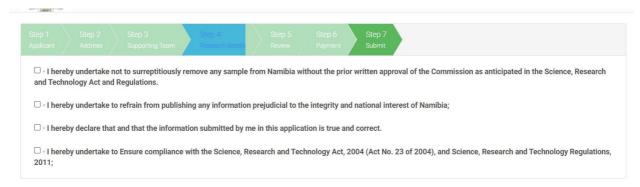


The applicant then clicks on choose file and uploads the receipt of payment and the system displays the page below.

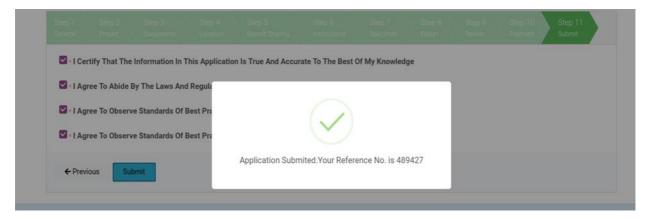


The applicant then clicks on "save and continue" at the bottom of the page.

Step 7: Submit



The applicant checks all the dialogue boxes and clicks on "submit".



The system will then display to the applicant his/her application has been successfully submitted and an application issued.

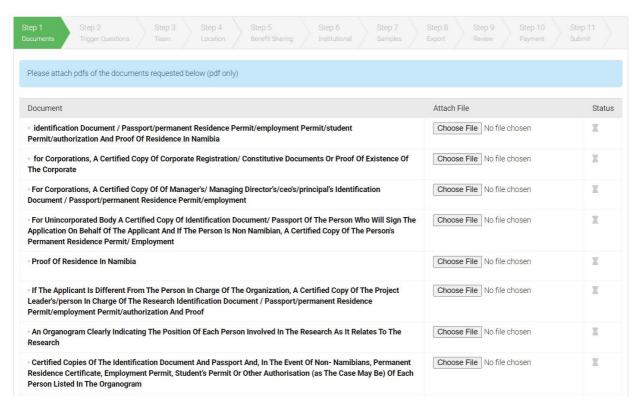
3. Research permit

A research permit is issued to;

- Non-Namibians based research institute/researcher intending to do research in Namibia;
- All Namibian based researcher studying abroad and intend to do research in Namibia;
- Non-Namibian based researchers collaborating with Namibian based research institute/researcher.

The research permit application process has 11 steps all to be filled by the applicant in-order to submit a successful ABS permit application request. The steps are as follows:

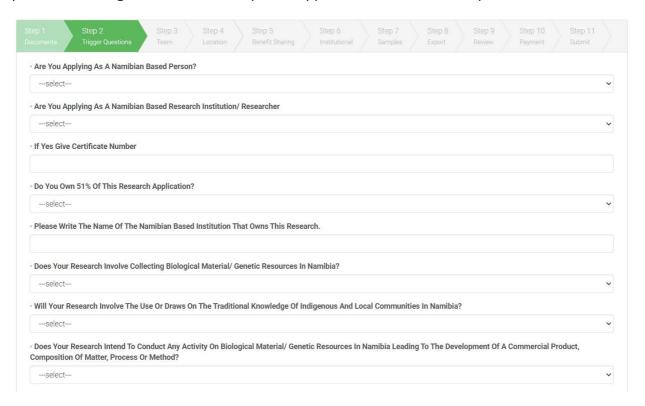
Step 1: Documents



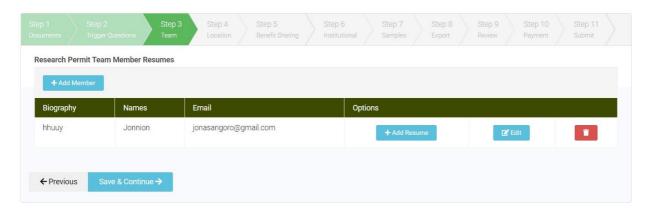
In this step the applicant attaches supporting documents for their application in **PDF format.** If the applicant returns to this step, they may not see the file name but as long as a green tick is shown, it has been uploaded. The applicant then clicks on "save and continue" to proceed to the next step.

Step 2: Trigger questions

These are the trigger questions which dynamically alter the applicants' application questions depending on the answers provided in this section. For example if they confirm their work involves species listed in CITES Appendices, they will later in their application be directed to questions relating to this and a CITES permit application will be autocompleted.

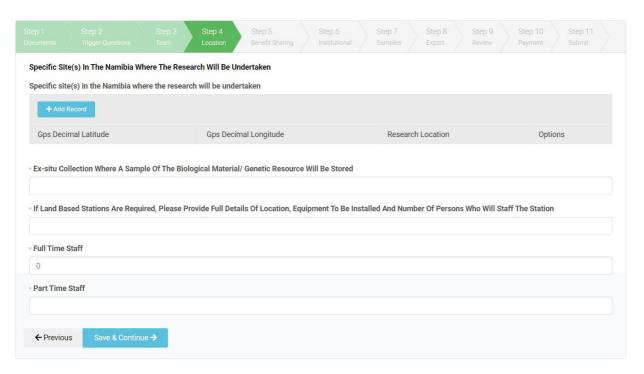


Step 3: Supporting team



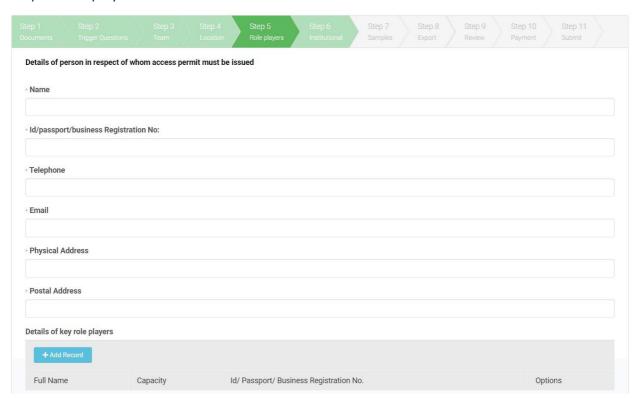
The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 4: Location



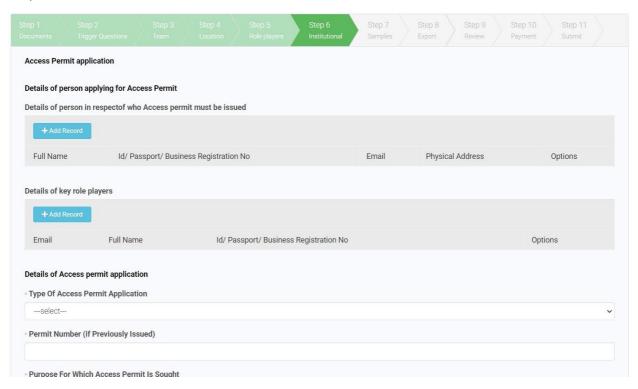
In this step the applicant lists the location(s) where the research project will be undertaken. They do this by clicking the "add record" button and filling the fields displayed. The applicant then proceeds to fill the remaining fields and clicks on "save and continue" to proceed to the next step.

Step 5: Role players



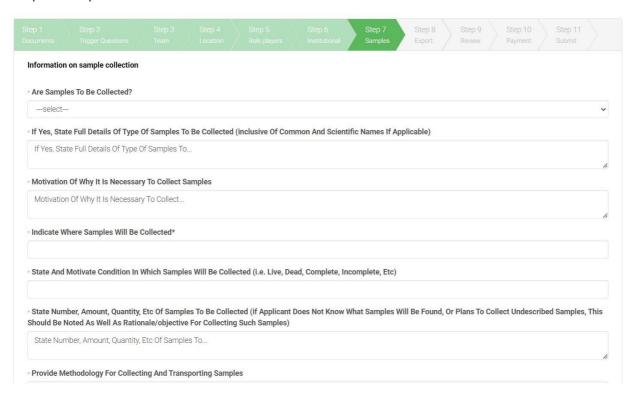
The applicants fills in the details of the person whom will be issued with access permit and clicks on "add record" to fill in the details of the role players involved in the access permit. Finally the applicant clicks on "save and continue" to proceed to the next step.

Step 6: Institutional



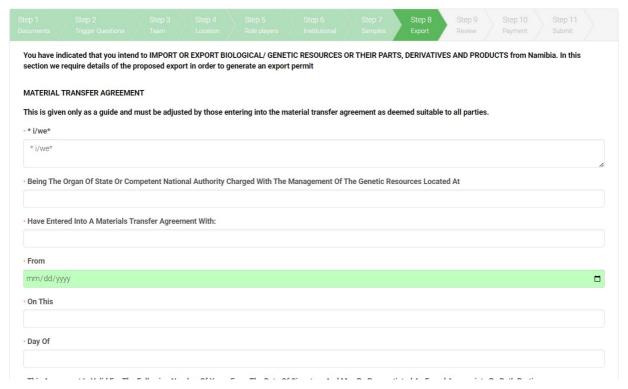
This steps involves filling in the access permit questions and application to export any biological/ genetic resources or traditional knowledge or their parts, derivatives and products. The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 7: Samples



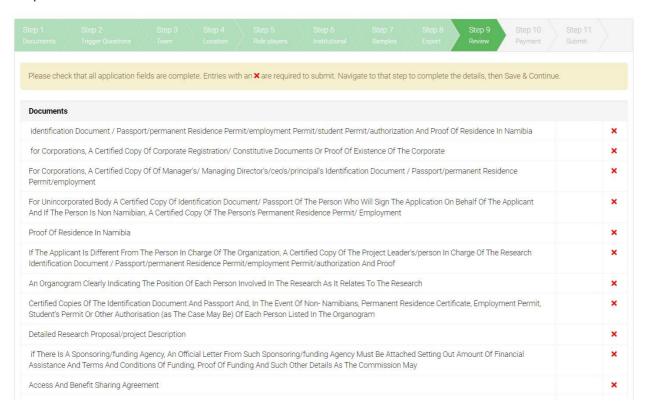
In this step the applicant states which samples(s) will be collected during their research project. They will also specify if they will be tagging any specimen(s) and the method of tagging for said specimen(s). The applicants then clicks on "save and continue" to proceed to the next step.

Step 8: Export



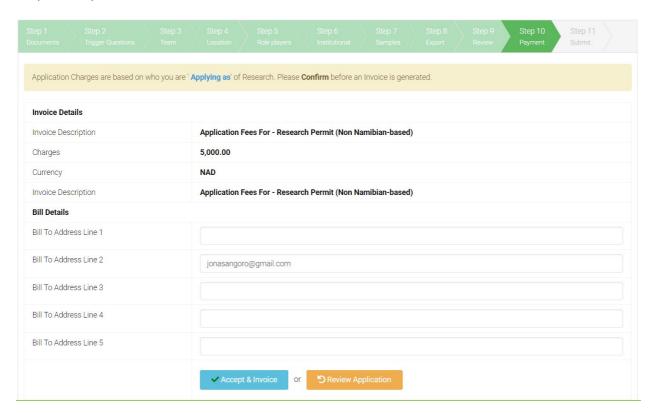
This step involves filling questions related to the Material Transfer Agreement. The applicant fills all the questions and clicks on "save and continue" to proceed to the next step.

Step 9: Review

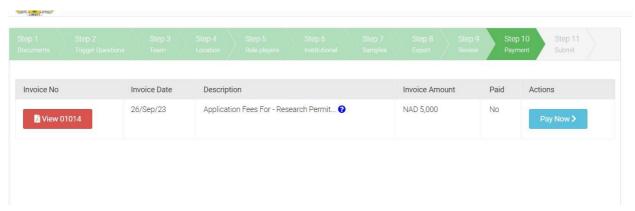


The applicant reviews all the answers he/ she has completed in the application. Those answers that have been satisfactorily given are represented by a green tick while blank questions are shown by a red X. The applicant then clicks on "save and continue" to proceed to the next step.

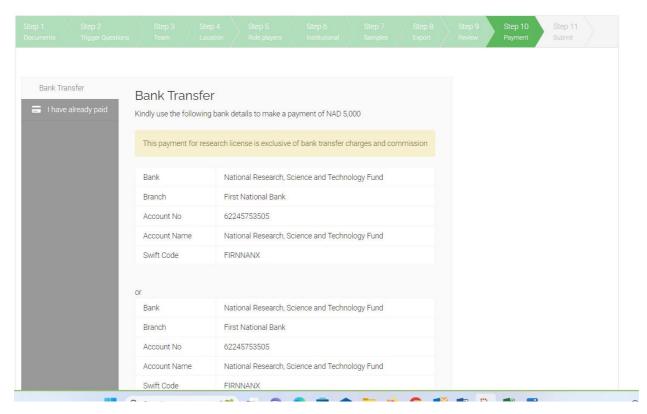
Step 10: Payment



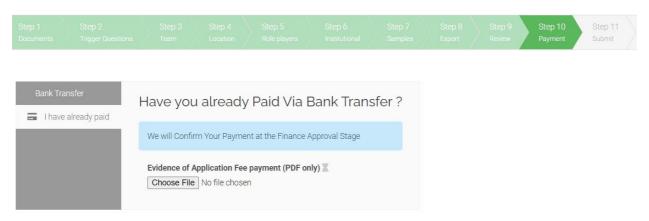
In this step the applicant clicks on accept and invoice and the system will automatically send the invoice amount to the registration email and open the step below;



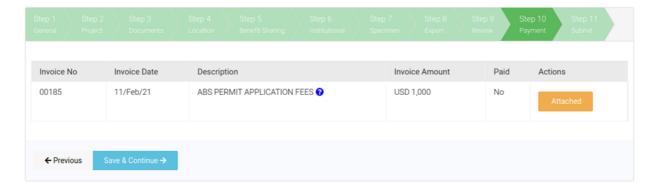
The applicant then clicks on pay now which then opens the step below;



The applicant then clicks on "I have already paid" which opens the page below;

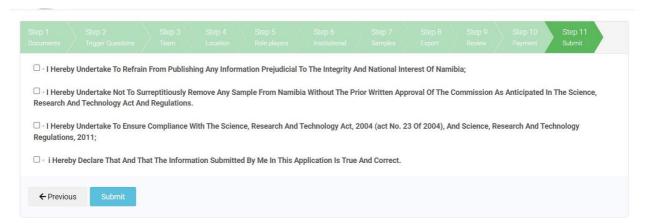


The applicant then clicks on choose file and uploads the receipt of payment and the system displays the page below.

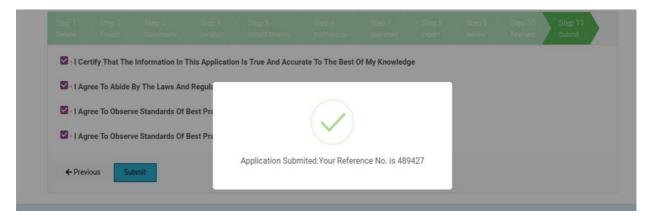


The applicant then clicks on "save and continue" at the bottom of the page.

Step 11: Submit



The applicant checks all the dialogue boxes and clicks on "submit".



The system will then display to the applicant his/her application has been successfully submitted and an application issued.

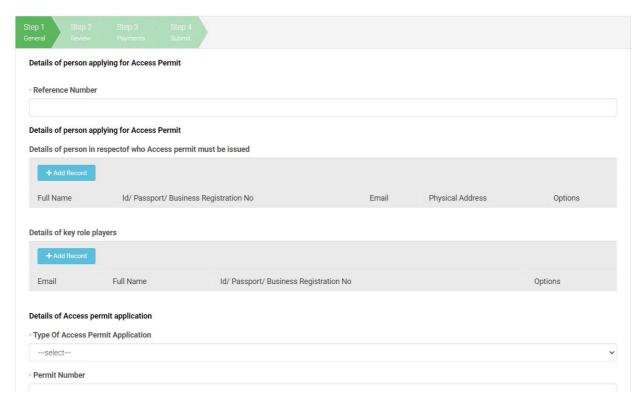
4. Access permit

An access permit is issued to researchers who want to;

- Access permit renewal
- Access permit extension.
- Bioprospecting.

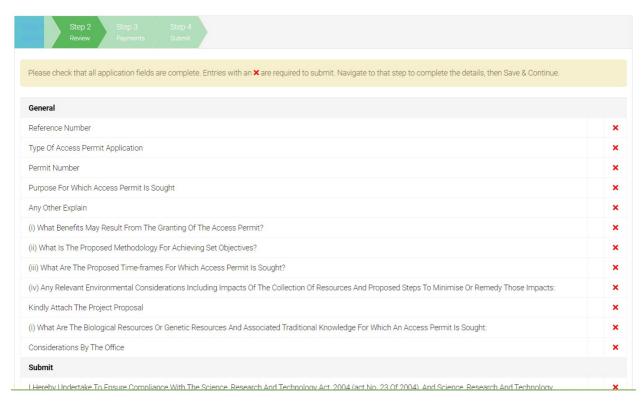
The access permit application process has four steps.

Step 1: General



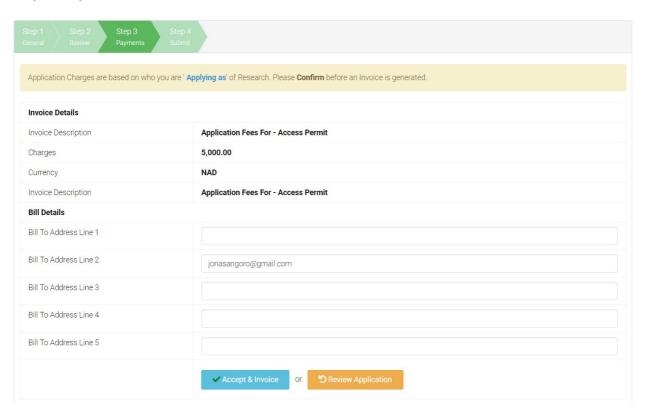
This steps involves filling in the access permit questions and application to export any biological/ genetic resources or traditional knowledge or their parts, derivatives and products. The applicant clicks on "add record" fills all the displayed fields and the clicks on save and continue at the bottom to proceed to the next step.

Step 2: Review



The applicant reviews all the answers he/ she has completed in the application. Those answers that have been satisfactorily given are represented by a green tick while blank questions are shown by a red X. The applicant then clicks on "save and continue" to proceed to the next step.

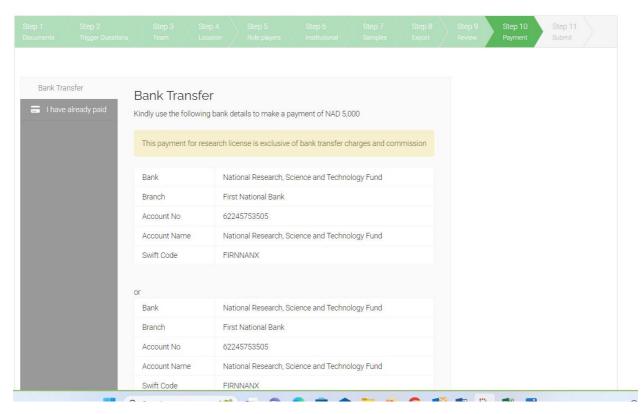
Step 3: Payment



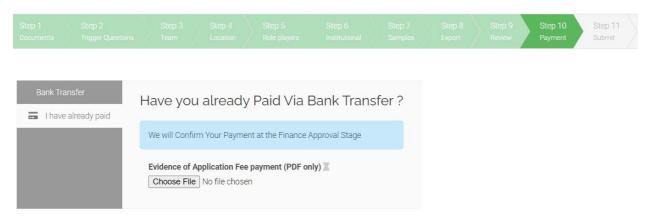
In this step the applicant clicks on accept and invoice and the system will automatically send the invoice amount to the registration email and open the step below;



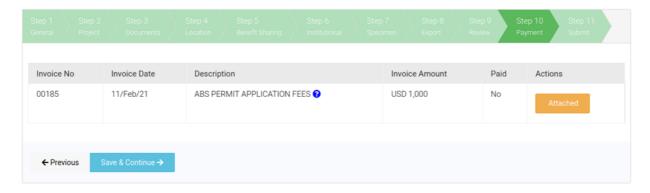
The applicant then clicks on pay now which then opens the step below;



The applicant then clicks on "I have already paid" which opens the page below;



The applicant then clicks on choose file and uploads the receipt of payment and the system displays the page below.

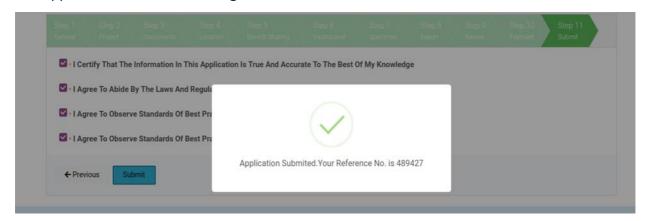


The applicant then clicks on "save and continue" at the bottom of the page.

Step 4: Submit

THE STATE OF THE S
Step 1 Step 2 Step 3 Step 4 Submit
□ • I Hereby Undertake To Ensure Compliance With The Science, Research And Technology Act, 2004 (act No. 23 Of 2004), And Science, Research And Technology Regulations, 2011;
- i Hereby Declare That And That The Information Submitted By Me In This Application Is True And Correct.
□ + I Hereby Undertake Not To Surreptitiously Remove Any Sample From Namibia Without The Prior Written Approval Of The Commission As Anticipated In The Science, Research And Technology Act And Regulations.
- 1 Hereby Undertake To Refrain From Publishing Any Information Prejudicial To The Integrity And National Interest Of Namibia;
← Previous Submit

The applicant checks all the dialogue boxes and clicks on "submit".



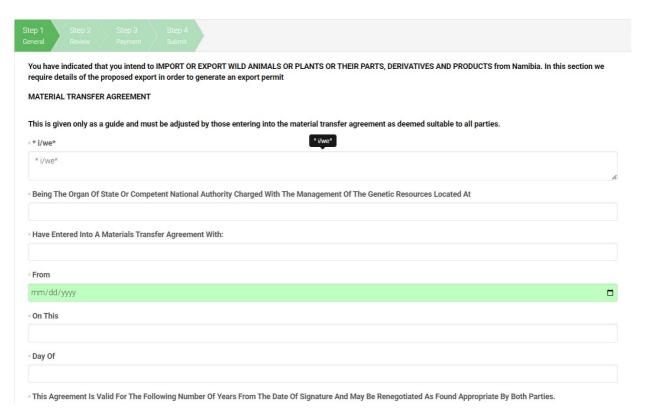
The system will then display to the applicant his/her application has been successfully submitted and an application issued.

5. Material Transfer Agreement

You have indicated that you intend to IMPORT OR EXPORT WILD ANIMALS OR PLANTS OR THEIR PARTS, DERIVATIVES AND PRODUCTS from Namibia. In this section we require details of the proposed export in order to generate an export permit.

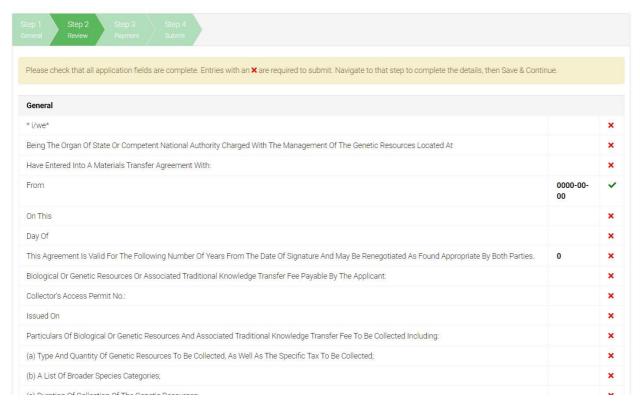
Step 1: General

The Material Transfer Agreement application process has four steps.



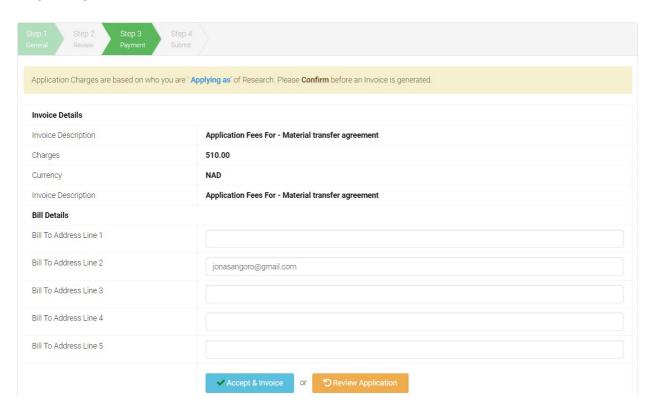
This step involves filling questions related to the Material Transfer Agreement. The applicant fills all the questions and clicks on "save and continue" to proceed to the next step.

Step 2: Review

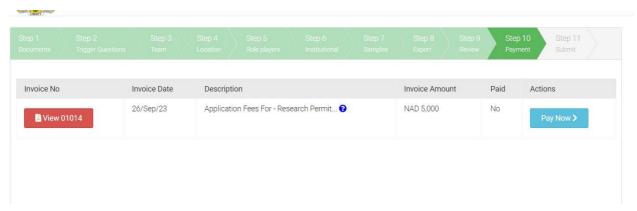


The applicant reviews all the answers he/ she has completed in the application. Those answers that have been satisfactorily given are represented by a green tick while blank questions are shown by a red X. The applicant then clicks on "save and continue" to proceed to the next step.

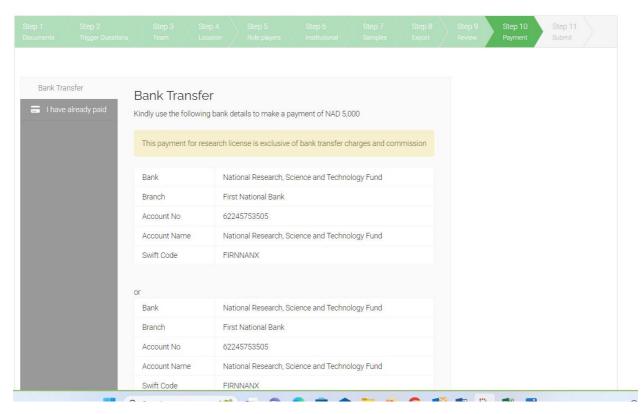
Step 3: Payment



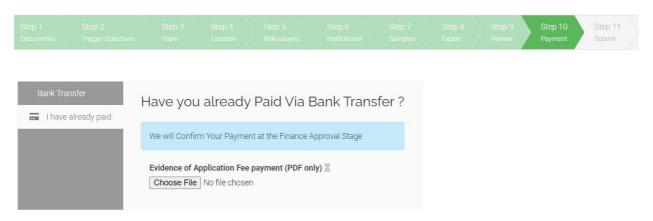
In this step the applicant clicks on accept and invoice and the system will automatically send the invoice amount to the registration email and open the step below;



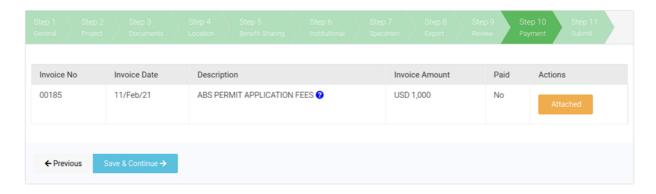
The applicant then clicks on pay now which then opens the step below;



The applicant then clicks on "I have already paid" which opens the page below;

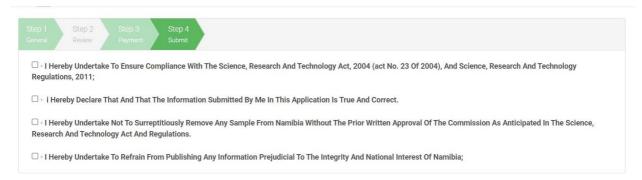


The applicant then clicks on choose file and uploads the receipt of payment and the system displays the page below.

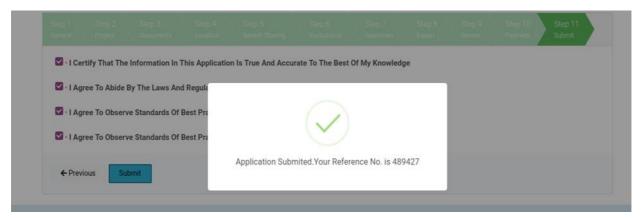


The applicant then clicks on "save and continue" at the bottom of the page.

Step 4: Submit



The applicant checks all the dialogue boxes and clicks on "submit".



The system will then display to the applicant his/her application has been successfully submitted and an application issued.

3. My Permits

Submitted Applications



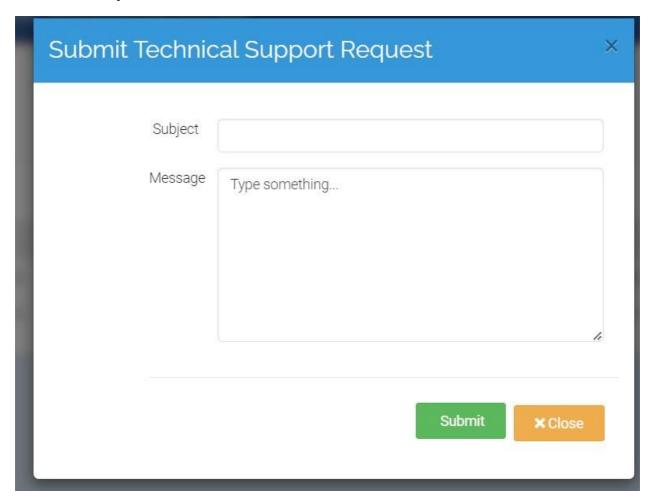
This section displays the applicant's previously submitted applications. When the applicant selects 'view' the submitted application pops up in PDF format.

4. Invoices



This page displays all the payments the applicants have made in regards to their applications

5. Need help



This is an email functionality the applicant can use to contact the system administrator for any help regarding the system.